

# NOTICE FOR NEGOTIATED PROCUREMENT (Small Value Procurement-Individual Consultant)

# HIRING OF SENIOR TECHNICAL OFFICER FOR TECHNICAL ASSISTANCE UNIT (TAU)

DSWD NNP No. 19-GOP-SVC-001 (PR No. 2018124473)

- 1. The Republic of the Philippines, through the Department of Social Welfare and Development (DSWD), Technical Assistance Unit, has received funds from the Australian Embassy - Department of Foreign Affairs and Trade (DFAT) and intends to apply part of the loan payments the sum of Nine Hundred Eighty-Seven Thousand Eight Hundred Four Peso (PhP 987,804.00) being the Approved Budget for the Contract (ABC) for the "Hiring of Senior Technical Officer for Technical Assistance Unit " (DSWD NNP No. 19-GOP-SVC-001).
- 2. To facilitate the immediate selection process, the DSWD proceeded with the procurement short of award, pending the approval of the 2019 General Appropriation Act (GAA), pursuant to Section 7.61 of the 2016 Revised Implementing Rules and Regulations (IRR) of Republic Act No. 9184 (RA 9184), Section 192 of the General Provisions of the Fiscal Year (FY) 2019 National Expenditure Program (NEP) and Department of Budget and Management (DBM) Circular Letter No. 2018-8<sup>3</sup> dated 30 July 2018.
- 3. The TAU is the Secretariat and workhorse of the TAF. The TAU, which is headed by a Senior Technical Officer has small personnel complement of less than 10 technical and administrative Cost of Service (COS) staff. It coordinates closely with senior DSWD officials and technical staff to identify TA projects in support of the DSWD priorities and development agenda. It then works with the DPs to access the grants and with OBSUs proponents to come up with the concept notes, project proposals and terms of reference (TOR) to be submitted to the DPs. Likewise, TAU monitors the implementation of TA projects, with focus on compliance with grant agreements and fund utilization. It also helps to identify and procure service providers, troubleshoot implementation issues and concerns and promote agenda setting, policy formulation and knowledge management.

### 4. Scope of Work:

In general, working under the supervision of the designated Overall TAF Coordinator, the STO will play a lead role in managing the relationship of the DSWD and its DPs in

<sup>&</sup>lt;sup>1</sup> Section 7.6. To facilitate the immediate implementation of procurement of Goods, Infrastructure Projects or Consulting Services, even pending approval of the GAA, corporate budget or appropriations ordinance, as the case maybe, and notwithstanding Section 7.2 hereof, the Procuring Entity may undertake the procurement activities short of award. Xxx.

<sup>&</sup>lt;sup>2</sup> Section 19. Timely Procurement Planning and Early Procurement Activities. Xxx. Agencies shall likewise undertake early procurement activities, from pre-procurement conference until post qualification of bids, as soon as the proposed national budget is submitted to Congress in accordance with the guidelines issued by GPPB. The issuance of notice of award of contract, may, however, be done only upon approval or enactment of their respective appropriations or budget authorization document and based in the amount authorized therein.

<sup>&</sup>lt;sup>3</sup> Prescribing Guidelines on the Conduct of Early Procurement for the FY 2019 National Expenditure Program (NEP)

relation to the accessing and use of grant funds to support about 90 TA projects and activities. Specifically, the STO will undertake the following:

- Develop, update, implement and monitor TA work programs of the DSWD with the Australian Embassy-DFAT which accounts for 75% of the 2017 TAF portfolio, and with ADB, UNICEF and other DPs;
- b. Collaborate with concerned OBSUs and Executive Committee and Management Committee officials to identify, design and package priority TA projects and activities, including preparation of concept notes, proposals and TORs compliant with the requirements under the grant agreement;
- c. Assist the concerned OBSUs in the approval, procurement, start-up and conduct and close of TA projects to include facilitating grant release from DPs, helping to identify and engage service providers, reporting physical and financial progress, monitoring and compliance with TA contracts and agreements and providing troubleshooting advice on the implementation of issues and concerns;
- d. Work with the Department's concerned support units to ensure DSWD compliance with the governance arrangements in the management of grant resources, covering release, disbursement and acquittal of funds; procurement of goods and services; legal review of contracts and agreements; and internal controls and risk mitigation, among others;
- Network and liaise with DPs, OBSUs and other stakeholders to build strategic relationships, mobilize resources, and facilitate communication and information sharing;
- f. Oversee the operations of the TAF-TAU, to include but not be limited to the following:
  - 1) Maintain database of all TA grants, projects and activities;
  - Ensure that the directives of the Secretary and the agreements reached in TAF Management Meetings, review missions and related oversight activities are properly carried out;
  - 3) In cooperation with the OBSUs, promote information dissemination, policy formulation and knowledge management for completed TAs;
  - Facilitate capacity building of OBSUs in identifying, designing and managing TA projects and activities;
  - 5) Lead the adoption of quality processes needed to effectively manage the TAF and the donor-supported TA projects;
  - 6) Ensure that the TAU meets the DSWD norms and standards in planning, budgeting, performance management, personnel administration, human resources development and other administrative system;
  - Cause the regular conduct of assessments and studies to check the relevance, effectiveness, efficiency and sustainability of the TAF-TAU.

### 5. Expected Outputs and Deliverables:

In providing services under the Scope of Work above, the Senior Technical Officer is expected to generate, directly or through the TAU, quality outputs relating to the following:

- a. Work programs jointly approved by the DSWD and respective DPs;
- b. Harmonized and duly approved concept notes, proposals and TORs;
- c. Progress and monitoring reports for submission to the Secretary, Executive and Management Committees, DFAT and other DPs and Oversight Agencies
- d. Grant agreements and partnership programs (where feasible)
- e. Studies and policy brief on TAU-TAF sustainability.

Based on more recent exigencies and developments, the Senior Technical Officer will lead the TAU in facilitating, or in carrying out in coordination with the concerned OBSUs, the following:

- a. TA project development and implementation to support DSWD thrusts and priorities, such as, organizational development, financial management system strengthening, knowledge management across DSWD clusters, GAD-related interventions, assistance to marginalized groups, etc.;
- b. Relationship management with the DFAT and other DPs, including mobilization of additional grant resources and development of new partnership agreements; and,
- c. TAF-TAU management, to include convening Steering Committees and Technical Working Groups (TWGs), financial management to re(access) DFAT funds previously remitted and still be released to the Bureau of Treasury, and administration of the day-to-day operations of TAU.
- 6. The contract duration is **from receipt of the Notice to Proceed (NTP) to 30 June 2019**, with the possibility of renewal based on performance assessment.
- 7. The Consultant should have the following qualifications:
  - Masters Degree or higher in Development Studies, Public Administration, Management, Social Sciences, Economics, Social Work or related fields;
  - Ten (10) years of relevant professional work experience in program and project development and management involving Official Development Assistance (ODA) and other support from the DPs and Civil Society Organizations (CSOs);
  - c. Ten (10) years of experience along effective development partnership, selection of experts and other service providers, contract management, client engagement and working with mixed team membership or multi-cultural environment, local partners and subcontractor;
  - d. Comprehensive understanding of social protection and poverty reduction programs and approached in the Philippines, especially the social protection framework and operations based on work experience;

- e. Broad experience in engaging with senior officials, partners and stakeholders, especially in relation to program and project development, packaging, monitoring, implementation and closure;
- f. Ten (10) years of work experience in government, particularly with regard to resource mobilization, project development, technical assistance and senior level public management;
- g. High familiarity with DSWD mandate, processes and rules and regulations; good working knowledge of the grant assistance processes and requirements of DPs under TAF (e.g., Australian Embassy-DFAT, ADB, UNICEF, UNWFP, WB, etc.); and,
- h. Excellent communication skills in English and Filipino.
- 8. The DSWD shall pay the Senior Technical Officer for services rendered an amount not to exceed a monthly rate of One Hundred Sixty-Four Thousand Six Hundred Thirty-Four Pesos (PhP 164,634.00) inclusive of all applicable taxes. Payment shall be made not later than ten (10) days following the submission of a written accomplishment report, to be submitted within five (5) days from the end of the month.
  - In the performance of his or her responsibilities and functions, the Senior Technical Officer, as head of the TAU may be authorized to attend official functions, meetings, workshops, conferences, monitoring visits and related activities, including but not limited to the National Management Development Conference (NMDC), field visits of DPs and various activities of implementing and coordinating mechanism such as TWGs. The Senior Technical Officer may be reimbursed for allowable expenditures incurred in connection with his or her official functions in accordance with pertinent accounting rules and regulations.
- 9. This project shall be procured using Section 53.9 (Negotiated Procurement-Small Value Procurement) of the 2016 Implementing Rules and Regulations of Republic Act No. 9184 or the Government Procurement Reform Act and pursuant to the following criteria:

Criteria	Points
A. Education/Studies	
Master degree or higher in Development Studies, Public Administration,	20
Management, Social Sciences, Economics, Social Work or related fields.	20
B. Work Experience/Expertise	
Ten (10) years of relevant professional work experience in program and project development and management involving Official Development Assistance (ODA) and other support from the DPs and Civil Society Organizations (CSOs);	20
15 years and up – 20 points	
13 years to 15 years - 18 points	
10 years to 13 years – 15 points	
Ten (10) years of experience along effective development partnership, selection of experts and other service providers, contract management, client engagement and working with mixed team membership or multi-	20

cultural environment, local partners and subcontractor	
15 years and up – 20 points	
13 years to 15 years – 18 points	
10 years to 13 years - 15 points	
Comprehensive understanding of social protection and poverty reduction programs and approached in the Philippines, especially the social protection framework and operations based on work experience	10
Broad experience in engaging with senior officials, partners and stakeholders, especially in relation to program and project development, packaging, monitoring, implementation and closure	10
Ten (10) years of work experience in government, particularly with regard to resource mobilization, project development, technical assistance and senior level public management  15 years and up – 10 points 13 years to 15 years – 8 points 10 years to 13 years – 5 points	10
High familiarity with DSWD mandate, processes and rules and regulations; good working knowledge of the grant assistance processes and requirements of DPs under TAF (e.g., Australian Embassy-DFAT, ADB, UNICEF, UNWFP, WB, etc.)	5
Excellent communication skills and English and Filipino	5
TOTAL	100

The passing rate/score is Eighty-Five Percent (85%).

- 10. The DSWD now invites interested individual applicants to submit the following:
  - Comprehensive Curriculum Vitae;
  - ii) Price Quotation Form (Annex A);
  - iii) Proof of PhilGEPS Registration/ PhilGEPS Registration Number;
  - iv) Certificate of BIR Registration;
  - v) Notarized Omnibus Sworn Statement (Annex B), to be submitted by the awarded consultant; and
  - vi) Income Tax Return.

The required documents shall be submitted at the address below or through email at <a href="mailto:quotations@dswd.gov.ph">quotations@dswd.gov.ph</a> not later than <a href="mailto:05:00 p.m.">05:00 p.m.</a> of 04 January 2019. The total amount of contract is Nine Hundred Eighty-Seven Thousand Eight Hundred Four Pesos (PhP 987,804) and will be engaged for six (6) months or from 01 January 2019 to 30 June 2019, with the possibility of renewal based on performance assessment.

11. The Consultant shall be required to issue Official Receipt (OR) as acceptable evidence of receipt of payment for disbursements. Nonetheless, the DSWD will hold payments of Consultants in case they are unable to issue an (OR). If the DSWD is the sole source of income of the Consultant, he/she may avail of the substituted filing and

will not be required to issue an OR, in which case a Notice of Availment of Substituted Filing of Percentage Tax Return shall be submitted by the Consultant.<sup>4</sup>

#### THE CHAIRPERSON

Bids and Awards Committee c/o Bids and Awards Committee Secretariat Procurement Management Service DSWD Central Office IBP Road, Constitution Hills. Quezon City

Facsimile No.: (02) 951 7116

Telephone Nos.: (02) 931 8101 to 07 local nos. 122, 123 and 124

ATTY. AIMEE TORREFRANCA-NERI

Undersecretary and Chairperson Bids and Awards Committee

By:

MARIE ANGELA S. GOPALAN Director IV and Vice-Chairperson

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Bids and Awards Committee

<sup>&</sup>lt;sup>4</sup> In accordance to the Memorandum issued by the Office of the Undersecretary, General Administration and Support Services Group, Department of Social Welfare and Development dated 07 February 2017

## Financial Proposal

NNP No.: 19-GOP-SVC-001

Lot No.	Particulars	Quantity	Total Contract Price (including all applicable taxes, amount in Phil. Peso)
1	Hiring of Senior Technical Office for Technical Assistance Unit (TAU)	1	

Name of Consultant:	 	
Signature:	 	
Date:		

### OMNIBUS SWORN STATEMENT

	BLIC OF THE PHILIPPINES) MUNICIPALITY OF) S.S.			
	AFFIDAVIT			
I,_	(name of affiant), of legal age, (civil status), (nationality) and residing at			
	(address), after having been duly sworn in accordance			
	w, do hereby depose and state that:			
1.	As individual consultant, I have full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid/proposals, and to sign and execute the ensuing contract/ purchase order for			
2.	That I am not "blacklisted" or barred from bidding by the Government of the Philippines or any of its agencies, offices, corporations, or Local Government Units, foreign government/foreign or international financing institution whose blacklisting rules have been recognized by the Government Procurement Policy Board;			
	been recognized by the coveriment rocatement only bound,			
3.	Each of the documents submitted in satisfaction of the requirements is an authentic copy of the original, complete, and all statements and information provided therein are true and correct;			
4.	That I am authorizing the Head of the Procuring Entity or its duly authorized representative(s) to verify all the documents submitted;			
5.	That I am not related to the Head of the Procuring Entity (HOPE), members of the Bids and Awards Committee (BAC), the Technical Working Group (TWG), and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;			
6.	That I shall comply with existing labor laws and standards;			
7.	That I am aware of and has undertaken the following responsibilities:			
	a. Carefully examine all of the Notice of Negotiated Procurement;			
	<ul> <li>Acknowledge all conditions, local or otherwise, affecting the implementation of the Contract/Purchase Order;</li> </ul>			
	c. Made an estimate of the facilities available and needed for the project, if any; and			
	d. Inquire or secure Supplemental/Bid Bulletin(s) issued for the (name of project).			
8.	That I did not give or pay directly or indirectly, any commission, amount, fee, or any form of consideration, pecuniary or otherwise, to any person or official, personnel or representative of the government in relation to any procurement project or activity.			

IN WITNESS WHEREOF, I have hereun	to set my hand this day of, 2018 at
(	Authorized Representative/Signatory)
, Philippines. Affiant is personathrough competent evidence of identity as d No. 02-8-13-SC). Affiant exhibited to me his/	fore me this day of, 2018 at ally known to me and was/were identified by me befined in the 2004 Rules on Notarial Practice (A.M. l/her [insert type of government begraph and signature appearing thereon, with No. on at ay of, 2018.
	NAME OF NOTARY PUBLIC
	Serial No. of Commission
	Notary Public for until
	Roll of Attorneys No
	PTR No
	IBP No
Doc. No Page No Book No Series of	

### TERMS OF REFERENCE

# Senior Technical Officer of the Technical Assistance Unit

### Background and Rationale

Created in August 2011, the Technical Assistance Facility for Social Protection Reforms has proved to be a fairly successful and useful mechanism to access, harmonize and optimally use technical assistance grants provided by Development Partners in support of the social protection programs of the DSWD. As of October 2018, about PhP 800 million worth of grants have been accessed and managed under the TAF, from the Australian Embassy – Department of Foreign Affairs and Trade (DFAT) and five other DPs. The grants have supported or are supporting 228 TA projects and activities, carried out or to be implemented by 17 different DSWD offices, bureaus, services and units (OBSUs).

The Technical Assistance Unit is the secretariat and workhorse of the TAF. The TAU, which is headed by the Senior Technical Officer, has a small personnel complement of less than 10 technical and administrative "cost of service" staff. It coordinates closely with senior DSWD officials and technical staff to identify TA projects in support of the DSWD priorities and development agenda. It then works with the Development Partners to access the grants and with OBSU proponents to come up with the concept notes, project proposals and terms of reference (TORs) to be submitted to the DPs. Likewise, the TAU monitors the implementation of TA projects, with focus on compliance with grants agreements and fund utilization. It also helps to identify and procure service providers, troubleshoot implementation issues and concerns, and promote agenda setting, policy formulation and knowledge management.

It may be noted that the TAU was originally conceived to facilitate the accessing and use of Australian Aid for social protection under the Technical Assistance Facility. Over the years, the TAU's scope of work has progressively expanded, to include (a) other funding assistance from the Australian Embassy – DFAT, such as the Support Program for Disaster Response and the Investing in Women Program, and (b) other grants from Development Partners such as the ADB, UNICEF and World Bank.

The SPDR is closing by December 2018 but the IW, ADB TA 9079 and UNICEF Rolling Work Plans for ECCD, Social Policy and Child Protection will be in full implementation mode in 2019. Meanwhile, with the DSWD-DFAT Agreement No. 70507 ending by December 2019, the TAF-TAU is undertaking a catch-up program to disburse by September the DFAT 70507 balance of about PhP 140 million. ADB 9079 has an unspent balance of about \$0.6 million, with an additional \$1.0 soon to be folded in under the Expanded Social Assistance Project. New TA grant programs are likewise starting or revving up, in partnership with the ADB, WB and FAO. In all, the TAF Grant Portfolio as of October 2018 covers PhP 437 million and 72 ongoing and pipeline TA projects of 17 OBSUs.

The DSWD seeks to engage a highly skilled and experienced Senior Technical Officer who shall lead and manage the TAU and continue the resource mobilization, project development, facilitation, monitoring, and networking and liaison work for ODA-supported TA projects. He or she shall promote cooperation between and among the DSWD and its implementing and coordination units on the one hand, and the Development Partners on the other, in identifying and implementing TA projects that will optimize the use of ODA grant resources being made available to pursue the priorities and thrusts of the DSWD. At the same time, he or she will work out new partnership programs that will yield more grant assistance to support DSWD capacity development.

The DSWD seeks to procure and engage a Senior Technical Officer who will head the TAU in mobilizing and facilitating the use of grant funds and technical expertise to help carry out social protection programs and initiatives. The engagement of the STO will be in line with the objective of ensuring that the TAF-TAU significantly contributes to the achievement of the DSWD development agenda. In particular, the TAF-TAU aims to promote the enhanced design and implementation of protective and promotive programs; capability building of DSWD, LGU and other implementers; and policy formulation and research to strengthen field operations and to steer the SP sector.

### Scope of Work

In general, working under the supervision of the designated Overall TAF Coordinator, the STO will play a lead role in managing the relationship of the DSWD with its Development Partners in relation to the accessing and use of grant funds to support about 90 TA projects and activities. Specifically, the STO will undertake the following:

- Develop, update, implement and monitor TA work programs of the DSWD with the Australian Embassy- DFAT, which accounts for about 75% of the 2017 TAF portfolio, and with the ADB, UNICEF and other Development Partners.
- Collaborate with concerned OBSUs and ExeCom and ManCom officials to identify, design and package priority TA projects and activities, including preparation of concept notes, proposals and terms of reference (TORs) compliant with the requirements under the grant agreements.
- 3. Assist the concerned OBSUs in the approval, procurement, start-up, conduct and closure of the TA projects to include facilitating grant releases from DPs, helping to identify and engage service providers, reporting physical and financial progress, monitoring compliance with TA contracts and agreements, and providing troubleshooting advice on the implementation issues and concerns.
- 4. Work with the Department's concerned support units to ensure DSWD compliance with the governance arrangements in the management of grant resources, covering release, disbursement and acquittal of funds; procurement of goods and services; legal review of contracts and agreements; and internal controls and risk mitigation, among others.
- 5. Network and liaise with DPs, OBSUs and other stakeholders to build strategic relationships, mobilize resources, and facilitate communication and information sharing
- 6. Oversee the operations of the TAF-TAU, to include but not be limited to the following:
  - Maintain the database of all TA grants, projects and activities
  - Ensure that the directives of the Secretary and the agreements reached in TAF Management Meetings, review missions and related oversight activities are properly carried out
  - In cooperation with the OBSUs, promote information dissemination, policy formulation and knowledge management for completed TAs
  - Facilitate capability building of OBSUs in identifying, designing and managing TA projects and activities
  - Lead the adoption of quality processes needed to effectively manage the TAF and the donor-supported TA projects
  - Ensure that the TAU meets the DSWD norms and standards in planning, budgeting, performance management, personnel administration, human resources development and other administrative systems

Cause the regular conduct of assessments and studies to check the relevance, effectiveness, efficiency and sustainability of the TAF-TAU

## Expected Outputs and Deliverables

In providing services under the Scope of Work above, the Senior Technical Officer is expected to generate, directly or through the TAU, quality outputs relating to the following:

- Work programs jointly approved by the DSWD and respective Development Partners
- Harmonized and duly approved concept notes, proposals and TORs
- Progress and monitoring reports for submission to the Secretary, DSWD Executive and Management Committees, DFAT and other DPs, and Oversight Agencies
- Grant agreements and partnerships programs (where feasible)
- Studies and policy briefs on TAF-TAU sustainability

Based on more recent exigencies and developments, the Senior Technical Officer will lead the TAU in facilitating, or in carrying out in coordination with the concerned OBSUs, the following:

- TA project development and implementation to support DSWD thrusts and priorities, such as organizational development, financial management system strengthening, knowledge management across DSWD clusters, GAD-related interventions, assistance to marginalized groups, etc.
- Relationship management with the DFAT and other Development Partners, including mobilization of additional grant resources and development of new partnership agreements
- TAF-TAU management, to include convening Steering Committees and Technical Working Groups, financial management to (re)access DFAT funds previously remitted and still to be released to the Bureau of Treasury, and administration of the day-to-day operations of the TAU

## **Duration of Engagement**

The engagement is for six months, from 01 January 2019 to 30 June 2019, with the possibility of renewal based on performance assessment.

### Professional Fee

The professional fee for the total duration of the agreement is PhP987,804.00. The amount is to be charged against the TAU GASS fund for one month and against the grant provided to the DSWD by the Australian Embassy-DFAT under DSWD-DFAT Direct Funding Agreement No. 70507, specifically under "Support to TAU Operations," for five months.

## Remuneration and Payment Schedule

The DSWD shall pay the Senior Technical Officer for services rendered an amount not to exceed a monthly rate of One Hundred Sixty-Four Thousand Six Hundred Thirty-Four Pesos and 00/100 (PhP164,634.00). Payment shall be made not later than 10 days following submission of a written accomplishment report, to be submitted within five days from the end of the month.

In the performance of his or her responsibilities and functions, the Senior Technical Officer as head of the TAU may be authorized to attend official functions, meetings, workshops, conferences, monitoring visits and related activities, including but not limited to the National Management Development Conference (NMDC), field visits of Development Partners, and various activities of implementing and coordinating mechanism such as Technical Working Groups (TWGs). The Senior Technical Officer may be reimbursed for allowable expenditures

incurred in connection with his or her official functions in accordance with pertinent accounting and auditing rules and regulations.

### Professional Qualifications Required

The consultant should have the following qualifications:

- 1. Master's degree or higher in Development Studies, Public Administration, Management, Social Sciences, Economics, Social Work or related fields
- 2. Ten (10) years of relevant professional work experience in program and project development and management involving Official Development Assistance (ODA) and other support from Development Partners and Civil Society Organizations (CSOs)
- 3. Ten (10) years of experience along effective development partnership, selection of experts and other service providers, contract management, client engagement, and working with mixed team membership or multi-cultural environment, local partners and subcontractor
- 4. Comprehensive understanding of social protection and poverty reduction programs and approaches in the Philippines, especially the social protection framework and operations, based on work experience (CV)
- Broad experience in engaging with senior officials, partners and stakeholders, especially in relation to program and project development, packaging, monitoring, implementation and closure
- Ten (10) years work experience in government, particularly with regard to resource mobilization, project development, technical assistance and senior level public management
- 7. High familiarity with DSWD mandate, processes, and rules and regulations; good working knowledge of the grant assistance processes and requirements of Development Partners under the Technical Assistance Facility (e.g., Australian Embassy DFAT, ADB, UNICEF, UNWFP, WB, etc.)
- 8. Excellent communication skills in English and Pilipino